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# Autologous neutralizing antibody responses after antiretroviral therapy in acute and early HIV-1

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**BACKGROUND.** Early antiretroviral therapy initiation (ARTi) in HIV-1 restricts reservoir size and diversity while preserving immune function, potentially improving opportunities for immunotherapeutic cure strategies. For antibody-based cure approaches, the development of autologous neutralizing antibodies (anAb) after acute/early ARTi is relevant, but poorly understood.

**METHODS.** We characterize antibody responses in a cohort of 23 participants following ARTi in acute HIV (<60 days after infection) and early HIV (60-128 days after infection).

**RESULTS.** Plasma virus sequences at the time of ARTi revealed evidence of escape from anAbs after early, but not acute, ARTi. HIV-1 Envs representing the transmitted/founder virus(es) (acute ARTi) or escape variants (early ARTi) were tested for sensitivity to longitudinal plasma IgG. After acute ARTi, no anAb responses developed over months to years of suppressive ART. In two of the three acute ARTi participants who experienced viremia after ARTi, however, anAbs arose shortly thereafter. After early ARTi, anAbs targeting those early variants developed between 12 and 42 weeks of ART and continued to increase in breadth and potency thereafter.

**CONCLUSIONS.** Results indicate a threshold of virus replication (~60 days) required to induce anAbs, after which they continue to expand on [...]



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- 25

#### 27 ABSTRACT

Background. Early antiretroviral therapy initiation (ARTi) in HIV-1 restricts reservoir size and
diversity while preserving immune function, potentially improving opportunities for
immunotherapeutic cure strategies. For antibody-based cure approaches, the development of
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42 Conclusions. Results indicate a threshold of virus replication (~60 days) required to induce
43 anAbs, after which they continue to expand on suppressive ART to better target the range of
44 reservoir variants.

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# 50 INTRODUCTION

51 HIV-1 cure strategies aim to eradicate the proviral reservoir and/or enhance immune-52 mediated virus suppression. Thus, understanding HIV-specific cellular and humoral immunity is 53 central to the cure research agenda (1). Early antiretroviral therapy initiation (ARTi) has been 54 shown to restrict reservoir size and diversity (2-7) while preserving immune function (8), 55 providing an optimal setting for immunologic cure interventions. Furthermore, immediate ARTi at time of HIV-1 diagnosis limits transmission (9, 10) and disease progression (11, 12) in people 56 57 with HIV (PWH). This early viral suppression, however, may also abrogate development of 58 autologous neutralizing antibodies (anAbs). NAb responses against HIV develop slowly, often 59 arising after three or more months of persistent virus replication (13). Other adaptive responses, such as HIV-specific cytotoxic T cells (CTLs) (14) and non-neutralizing antibodies (used in 60 diagnostic testing algorithms and potentially mediating antibody-dependent cellular virus 61 inhibition (ADCVI)), (15) arise during the first two to four weeks of acute disease. The kinetics of 62 63 CTL (16) and effector antibody responses (17) after early ARTi have been described recently, but less is known about the timing, magnitude, and durability of host nAb development in this setting. 64 65 Here, we aimed to characterize the kinetics and determinants of anAb development following acute 66 and early HIV acquisition and immediate ARTi in the University of California, San Francisco 67 (UCSF) Treat Acute HIV cohort (18).

Delayed development of anAbs distinguishes HIV from other viral infections, including SARS-CoV2 (19), influenza (20), and herpes viruses (21), against which potent nAbs develop within days of disease onset. Anti-HIV-1 neutralizing antibodies are classically defined as those that bind the functional HIV-1 envelope (Env) trimer and prevent infection of new target cells by sterically blocking access to cellular receptors, inhibiting necessary energy states, or impeding

conformational transitions (22). HIV-1 evades effective humoral immunity and delays nAb 73 74 development by impairing CD4 T cell help and concealing neutralizing epitopes through dense 75 glycosylation and conformational masking (23-26). Furthermore, the HIV virion displays many non-functional 'decoy' antigens such as gp41-gp120 monomers and gp41 stumps (27) with 76 77 relatively few functional Env trimers (28). Most binding antibodies recognizing monomeric Env 78 or gp41 antigens do not neutralize plasma virus (29, 30). Early anAbs are directed to target epitopes in the highly polymorphic 'variable' regions of Env (31), allowing for virus escape facilitated by 79 the high error rate of HIV-1 replication (32). As a result, the earliest anAbs lack breadth and are 80 81 highly strain specific for transmitted/founder (TF) virus(es), the virus(es) that establish productive 82 infection following a transmission event (23, 33).

Early nAb responses can be quite potent but are insufficient to control HIV replication due 83 84 to rapid immune escape. In untreated early infection, plasma virus evolves to escape activity of 85 contemporaneous circulating anAbs as they arise (33). As untreated HIV progresses, successive cycles of viral escape from ongoing anAb responses continue, though escape may be less complete 86 87 in chronic infection (34). NAbs with activity against a broad range of isolates can be identified in 88 between 5-30% of chronically infected individuals, but these broadly neutralizing antibodies 89 (bnAbs) arise only after many cycles of antibody development and viral escape over years of 90 viremia, and are infrequently associated with virus control (35, 36). Furthermore, active HIV 91 replication disrupts cellular immunity, inducing dysfunctional B cell (37) and T follicular helper 92 cell (24) responses that impair effective Ab responses. Paradoxically, ongoing viral replication 93 both provides the antigen exposure necessary for development and honing of anAb activity, while 94 simultaneously impairing cellular immunity and allowing viral escape.

95 Early ARTi has complex implications for anAb development. By inhibiting viral replication, ART helps preserve cellular immunity and prevents further viral evolution, but also 96 97 dramatically decreases the amount of Env antigen encountered by immune cells. Halting viral 98 replication, however, does not eliminate Env expression. Initially upon ARTi, virus is expressed 99 by productively infected cells which exhibit a range of half-lives (38, 39). During steady-state 100 ART suppression, HIV Env exists via expression of provirus from reservoir cells (40) or surface recycling of complement-bound virions captured within follicular dendritic cell (FDC) endosomes 101 (41, 42). Intact virions may remain archived within FDC networks for months to years on ART 102 103 (42, 43). Similarly, vaccination studies in non-human primate models have shown that long-lived germinal centers facilitate persistent development of nAb responses over nine months after the last 104 immunogen dose (44). Thus, even in the absence of viremia, sufficient antigen may be present to 105 106 facilitate ongoing maturation of humoral responses.

107 Longitudinal cohort studies suggest that this low level of HIV antigen exposure during 108 ART-mediated viral suppression may drive functional humoral immune responses. HIV-specific 109 binding (i.e. non-neutralizing) antibodies appear to wane over long-term ART (45), and some 110 participants with acute ARTi experience complete seroreversion (46, 47). Neutralizing antibodies, 111 in contrast, appear to persist for years (48) and may even increase in potency over time (49, 50). 112 Two recent studies of acute and early treatment cohorts inform our understanding of antibody 113 development after early ARTi. A study of participants with acute and early ARTi (stage I through 114 V) from the Military HIV Research Program (MHRP) by Mitchell and colleagues describe the 115 induction and persistence of HIV-specific binding antibodies with effector functionality following 116 ARTi in acute HIV at stage III disease or later. Despite ongoing germinal center activity, however, 117 these participants did not develop neutralizing antibodies during the first year of ART (17).

Esmaeilzadeh and colleagues describe twelve individuals with early ARTi, some of whom demonstrated broadening of anAb titers over years of ART against autologous virus sampled at ARTi. This study also suggested the potential for those anAbs to restrict some reservoir virus populations from rebound at analytical treatment interruption (ATI) (51). These reports suggest differences between anAb responses after acute vs. early ARTi, as well as the potential for ongoing HIV-specific humoral immunity on suppressive ART.

Cure strategies harnessing anAbs, such as therapeutic vaccination, may differ substantially whether the desired outcome is to boost, broaden, or foster de novo anAb responses. To better inform future cure strategies, we aimed to characterize the kinetics of longitudinal HIV-specific anAb development after acute and early ARTi, rooting our assessment to autologous plasma virus populations sequenced at the time of ARTi. Our results suggest a that "threshold" of viral replication prior to, or after, ARTi is required to induce nascent anAb responses that then continue to mature during long-term suppressive ART.

131

#### 132 **RESULTS**

133 Study cohort. Twenty-three participants from the UCSF Treat Acute HIV cohort (18) 134 diagnosed with acute (<60 days) or early (>60 days) HIV and initiating immediate ART were included in this study. Participant demographics are described in Table 1. Time to ARTi ranged 135 136 from 13 to 128 days after estimated date of detectable HIV infection (EDDI) (52). Median 137 followup was 42 weeks (range 12-274 weeks) from date of ART initiation. Plasma from 24 weeks 138 post-ARTi was available from all but one participant. All participants were cisgender men of age 139 21 to 45 years (median = 28), the majority of whom (20/23; 87%) identified as men who have sex 140 with men (MSM). The cohort was racially diverse, with participants self-identifying as Latino

(7/23; 30.4%), White (5/23; 21.7%), Asian (4/23; 17.4%), Black (3/23; 13.0%), Pacific islander
(1/23; 4.3%), mixed White/Latino (2/23; 8.7%), and mixed Latino/Native American (1/23; 4.3%).
All participants provided written informed consent, and the institutional review boards of UCSF
and the University of Pennsylvania approved the research.

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146 Time to ARTi determination and participant stratification. Time to ARTi was determined by EDDI algorithm (https://tools.incidence-estimation.org/idt/), which uses clinical testing results 147 to estimate a date at which a viral load of 1 copy/mL would theoretically be detectable (52). Thus 148 149 by 'time to ARTi' we are referring to estimated time between initial productive viral replication 150 and ARTi, and not time between virus acquisition and ART initiation as this would include the 151 length of an unknown eclipse period ranging 1-7 days (53). Participants were stratified by pre-test 152 probability of possessing existing anAb responses at ART initiation. Those initiating ART within 60 days of EDDI were considered 'Acute ART initiators' (AAi, N=15) and participants initiating 153 154 ART between 60-128 days were considered 'Early ART initiators' (EAi, N=8). This differentiates 155 participants with fewer than 2 months of viremia prior to ARTi in whom baseline anAbs are likely 156 absent (AAi) and those with 2-4 months of viremia in whom baseline anAbs may be present (EAi).

157

*Viral load kinetics*. After ARTi, plasma virus undergoes multiphasic decay resulting from graded attrition of infected cells with variable half-lives (38, 39). ARTi at the earliest stages of disease is associated with longer times to virus suppression under clinical assay detection limits, possibly due to greater magnitude of cell infection during peak viremia of acute HIV (54). In our cohort, viral loads at ARTi were significantly higher in the AAi group (4,142 to >10,000,000 copies/mL, median of 2,026,349 copies/mL), compared to the EAi group (9,525 to 297,362 copies/mL, median of 66,503 copies/mL) (p =0.02, two-tailed Mann-Whitney). Accordingly, first
undetectable viral load (<40 copies/mL) was observed between 2 and 50 weeks after ARTi</li>
(median of 5 weeks) and trended later in AAi (median = 8 weeks) than in EAi (median = 4 weeks)
(p = 0.08, two-tailed Mann-Whitney) (Table 1, Figure 1).

168 Persistent or intermittent low levels of detectable viremia after ARTi occur via several 169 mechanisms, including incomplete drug adherence enabling ongoing viral replication, full suppression of viral replication with continued virus production from longer-lived infected cells, 170 171 and stochastic expression of provirus from activated reservoir cells (40). Whether this low-level 172 viremia on ART can contribute to anAb development is unknown, but we note several participants 173 with detectable viremia after 24 weeks of ART. Participant 8043 initiated ART during acute HIV, and subsequently experienced a prolonged time to viral suppression. Following rapid reduction in 174 175 viremia from >10,000,000 to 422 copies/mL in the first 4 weeks on ART, participant 8043 then maintained low-level viremia through 50 weeks of ART (Figure S1). After achieving virus 176 177 suppression on ART, a total of seven participants demonstrated a subsequent detectable viral load 178 (>40 copies/mL by commercial assay, Table 1, Figure S1). Three of these participants (8016, 8028, 179 and 8043) initiated ART during acute disease, achieved suppression, then had an episode of 180 measured viremia >1,000 copies/mL (Figure 1B, red). No planned interruptions in therapy were 181 guided by the participants' clinicians, but participant report and drug level testing confirmed ART 182 nonadherence during these rebound viremic episodes (data not shown). Virus rebound after 183 analytical treatment interruption (ATI) has been shown to boost anAb responses and elicit anAbs 184 in those without detectable titers (34, 55), thus we considered these three participants as a distinct 185 group from those in whom AAi was followed by continuous virus suppression.

Gp120 binding antibodies. The earliest HIV Env-specific antibody responses are non-187 neutralizing. Cross-reactive responses to gp41 arise in the first 2 weeks of viremia and de novo 188 189 gp120-specific binding responses are detectable within 4 weeks (30, 56-58). As they represent the earliest de novo Env-specific antibody responses, we measured gp120-specific plasma binding 190 antibodies at ARTi in all participants. Binding Ab were present at ARTi in 16 of 23 (70%) 191 192 participants, and magnitude correlated with time to ARTi (Spearman r = 0.81, p < 0.0001, Figure 2A). Participants with negative  $3^{rd}$  generation clinical ELISA testing (EDDI of < 20 days) had low 193 194 or no detectable baseline gp120 binding responses. In participants initiating ART between 20 to 195 90 days after EDDI, baseline binding Ab levels increased with longer pre-ART viremic period, 196 until reaching a plateau in participants with viremia for 90 days or more prior to ARTi.

After ARTi, binding antibody levels did not rise significantly in either AAi or EAi 197 198 participants who maintained virus suppression (Figure 2B), but responses were more dynamic in 199 the initial weeks of HIV infection. As shown in Figure 2C, binding antibodies rose over the first 200 12 weeks on ART in most participants with AAi (9/15, 60%) and none with EAi (0/8, 0%) (p=0.015, two-tailed Fisher Exact test, Figure 2C). Change in binding antibodies correlated 201 positively with markers of acute infection, including prolonged time to first undetectable viral load 202 203 (Spearman r = 0.424, p <0.5, Figure S2A) and higher viral load at ARTi (Spearman r = 0.6475, p<0.001, Figure S2B). After the initial weeks of ART, binding antibodies stabilized or decreased 204 205 in 22/23 (96%) participants in the ensuing 12-24 weeks (Figure 2C). Only participant 8048, who 206 experienced new low-level detectable viremia (528 copies/mL) at week 20, showed increased 207 binding Ab during this period. In AAi, only those participants with subsequent rebound showed a 208 significant increase in binding Abs between ARTi and the final timepoint (Figure 2B). Together,

209 data suggest binding antibody development is determined by viremia during the first 8-12 weeks210 of infection, and continued viremia following AAi continues to drive these responses.

211

212 *Tier 1 neutralizing antibody responses.* Tier 1 HIV viruses, such as MN and SF162, are 213 laboratory-adapted strains that possess open, non-native Env conformations rendering them highly 214 sensitive to neutralization by a broad range of antibodies (59, 60). Antibodies that neutralize Tier 1 viruses can be elicited by monomeric gp120 protein but are not protective against HIV virus in 215 216 vivo (61, 62). Distinct from binding Ab and anAbs, emergence of Tier 1 neutralizing antibodies 217 (T1nAb) may represent an intermediate stage in the progression of these Env-specific humoral 218 responses, indicating de novo responses to immunogenic epitopes of the Env trimer that are well-219 shielded in the 'functional' trimeric Env of primary virus isolates. We assessed for plasma T1nAbs 220 at ARTi and longitudinal timepoints.

221 At ARTi, T1nAb were absent in AAi and present only in later EAi (>90 days), correlating 222 positively with time to ARTi (MN.3 Spearman r = -0.76, p < 0.0001; SF162 Spearman r = -0.67, p223 = 0.0005, Figure 2D, F). In contrast to binding Ab, T1nAb did not subsequently increase on 224 suppressive ART in AAi. In the three participants with AAi and rebound, however, T1nAb did 225 develop (Figure 2E, G). T1nAb were detectable in the majority of EAi participants (6/8, 75%) at ARTi and did not change significantly over ART (Figure 2E, G). Like the AAi participants, the 226 227 two EAi participants with absent T1nAb at ARTi, 8022 and 8012, did not later develop T1nAb on 228 ART.

229

230 Sequence characterization of early virus lineages. To assess the multiplicity of infection
231 and extent of virus evolution that had occurred prior to ARTi, we characterized plasma virus at the

232 time of HIV diagnosis and ARTi. Single genome sequencing (SGS)-derived gp160 Env from 233 plasma virus at ARTi in all 23 participants (n = 425 total sequences, median 18 per participant) 234 are displayed in maximum-likelihood phylogenies (Figure S3-6; representative examples in Figure 3A). Of the sequences generated, 40/425 (9.4%) contained nonsense or frameshift mutations, 235 236 which were included in nucleotide phylogenies but discarded from amino acid phylogenies. Using 237 visual inspection and a validated model of random virus evolution (63), wherein sequences 238 conform to star-like phylogeny (SLP) in the absence of either multivariant transmission or 239 adaptation to selective pressure, we enumerated the multiplicity of infection.

240 Among the AAi participants, eight of the fifteen participants' sequences demonstrated a 241 single, low-diversity lineage that conformed to SLP or near-SLP, indicating productive infection with a single virus (Figure S3). Molecular clock estimates from these sequences predicting the 242 243 time since infection aligned closely with clinical estimates (Figure 3B), though these estimates may be less accurate in populations not conforming to SLP (63). The other seven AAi participants' 244 245 sequences did not conform to SLP but demonstrated two or more distinct low-diversity lineages 246 suggesting acquisition of multiple related but genetically distinct TF viruses, heretofore referred 247 to as multivariant transmission (MVT) (Figure S4). Within these AAi MVT participants, each 248 distinct lineage conformed to SLP or near-SLP and matched clinical timing estimates despite far greater diversity within the overall sequence alignment (Figure 3B). Lineages exhibiting SLP in 249 250 these AAi participants allowed for inference of the transmitted/founder (TF) sequence as the 251 common ancestor of that population. Inferred TF viruses for 14/15 AAi participants were cloned 252 to be tested phenotypically (Figure S3, S4). In MVT participants, the TF representing the inferred 253 dominant clade (representing <50% of sequences) was cloned. We additionally attempted to clone

254 minor clade TF viruses, but some Envs lacked infectivity in functional assays thus only dominant
255 clade virus was tested for participants 8061, 8017, and 8009 (Figure S4).

- 256 In the EAi participants, sequences demonstrated greater diversity and defied SLP, suggesting virus adaptation to immune pressure prior to ARTi (Figure 3C). While cytotoxic T 257 258 lymphocytes can exert potent pressure on viral populations in acute infection, anAbs function as 259 the primary selective pressure at the Env locus (32, 64, 65). Five of the eight EAi participants had 260 relatively low-diversity sequence populations, consistent with single virus transmission and early virus adaptation (e.g., Figure 3A, participant 8048). Given sequence evidence of virus adaptation, 261 262 the TF virus could not be inferred, but one or more representative 'Early' Envs, suspected to be 263 immune escape variants, were cloned (Figure S5). Three EAi participants demonstrated high levels 264 of sequence diversity suggesting MVT with subsequent diversification via selection and recombination (e.g., Fig 3A, participant 8014). In these participants, Envs representing different 265 regions of the phylogeny were cloned for testing (Figure S6). In total, twenty-nine infectious 266 267 pseudoviruses were generated. Across the entire cohort, MVT was identified in ten of the twenty-268 three participants (43%).
- 269

*Autologous neutralizing antibody responses*. Using the inferred TF or Early (likely anAb
escape) variants, we measured anAb responses in twenty-two participants (Figure 4). At the time
of ARTi, we expected no plasma neutralization of contemporaneous Envs, because either 1) anAbs
had not yet developed, or 2) contemporaneous virus will have escaped from nascent anAbs. As
expected, week 0 plasma IgG did not neutralize autologous virus in any participant (Figure 4A).
After ARTi, AAi participants with continuous ART suppression (n=11) failed to develop anAbs
at any longitudinal timepoint when followed from 12 to 274 weeks (Figure 4A).

In contrast, anAbs did develop in two of three (66%) AAi participants with rebound viremic episode after ARTi (8028 and 8043). In these two participants, anAbs were detected either at (8043) or after (8028) a rebound viremic timepoint (Figure 4B), though it is unclear from clinical data the duration of viremia prior to sampling.

AnAbs developed in seven of eight (88%) EAi participants after ARTi. AnAbs were first detected at week 12 in four participants (8012, 8035, 8048, 8068), week 24 in 2 participants (8014, 8038), and week 42 in 1 participant (8030) (Figure 4C). Notably, potency continued to rise over longitudinal timepoints in four of the seven who developed anAbs, indicating continued evolution of the anAb response after many months or years of ART suppression. In the other three participants, anAbs were detected at final timepoint and plasma from later timepoints was not available.

288

*Rebound virus populations in AAi participants.* In the three participants with AAi and subsequent rebound, plasma virus Env sequences from rebound viremic timepoint were sequenced (n=28, median 11 per participant) and are displayed with acute plasma virus (Figure 5). Due to intermittent sampling, we do not know the exact timing, duration or magnitude of rebound viremia apart from sampling timepoints.

Rebound was detected in participant 8016 at week 103, after more than a year of virus suppression. Rebound virus was largely identical to one of the two TF lineages identified during acute infection (Figure 5), demonstrating lack of virus evolution from acute viremia. Binding Ab and T1nAb to MN but not SF162 transiently increased prior to detection of rebound but did not continue to rise thereafter (Figure S7A). Plasma antibodies from prior, during, and after rebound failed neutralize either rebound or TF lineages (Figure 5, S9). This lack of anAb development, 300 combined with absence of sequence evolution in rebound plasma virus, suggests a limited duration301 of rebound viremia.

302 Participant 8028 had detectable rebound at week 15, shortly after their first undetectable 303 viral load post-ARTi, and then developed low-level anAbs at week 24 (Figure 4). Rebound 304 sequences were identical or nearly identical to the single TF virus lineage (Figure 5). No 305 neutralizing activity was detected in rebound timepoint plasma IgG, though binding Ab and T1nAb were increased from prior measures (Figure S7A). The minimal diversity contained in the rebound 306 307 Envs included 1-2 amino acid substitutions, including two shared mutational motifs (sites: K178N 308 and alteration of potential N-linked glycosylation site (PNGS) at position 88: V89I, N88K). Envs 309 containing the K178N mutation were similarly neutralized by week 24 plasma, while the loss of the PNGS at position 88 conferred a modest increase in resistance to plasma anAbs (IC50: 926 310 311 ug/mL vs 598 ug/mL), suggesting possible early escape (Figure 5).

Participant 8043 demonstrated virus suppression at week 50 after a prolonged period of 312 313 low-level viremia during the first 24 weeks of ART. When next sampled at week 102, anAb 314 responses neutralizing the largest of 3 TF lineages had developed (Figure 4). Rebound virus 315 aligned with minimal diversification to this dominant lineage, as well as with two other lineages 316 reflecting recombinants or previously unsampled lineages (Figure 5). The dominant clade 317 represented a smaller proportion of the rebound population (six of twelve sequences, 50%) 318 compared to the pre-ARTi population (fourteen of nineteen sequences, 74%). Within the dominant 319 clade, two sequences shared an I294V substitution that did not confer resistance to anAbs when 320 tested in vitro (IC50 = 155 ug/mL). Both minor lineages (sampled only pre-ARTi) and the 321 recombinant lineage (sampled only at rebound) were resistant to anAbs (IC50 >1,000 ug/mL). 322 Three mutations in the gp120 region of this recombinant lineage differed from dominant clade TF

virus: N362K in C3 region, S411N in V4, and I491V in C5. To our knowledge these mutations have not previously been described in early anAb or bnAb escape. Of the three, we note that N362K leads to PNGS loss at this site adjacent to the CD4 binding site (CD4bs) and thus may affect CD4 binding kinetics or sensitivity to neutralization by antibodies targeting CD4bs and CD4-induced epitopes (66-69). The shift in lineage frequencies suggests anAbs may elicit pressure, but persistence of the sensitive lineage within the circulating plasma population indicates that anAbs of this titer are insufficiently potent to block replication completely.

330

*AnAb responses in EAi participants with multivariant transmission*. Three participants
with EAi (8012, 8014, and 8035) and one with AAi and subsequent rebound (8043) had MVT,
affording the opportunity to assess the specificities and kinetics of anAb responses against distinct
viral lineages over time (Figure 6). Notably, as the frequency of recombination events was high,
determination of a dominant (in general, >50% sequences) and minor (<50% of sequences) clade</li>
was imprecise.

Sequences from participant 8014 suggest at least two clades (represented by C7.2 and D9) diverging by 92 AA (10.2%) with multiple recombinant lineages. Despite substantial recombination, C7.2 had a larger frequency of related sequences compared with D9. In samples available through 24 weeks of ART, plasma IgG neutralized only the dominant clade. This mirrors the anAb responses that developed after rebound in participant 8043, which neutralized dominant clade but not minor clades or recombinant variants (Figure 6).

Participant 8012's virus population was more closely related, with two clades (C8 and M2)
diverging by 23 amino acids (2.7%). The M2 clade was nominally more prevalent at ARTi (20/39
sequences, 51%). Neutralization of M2, but not C8, was first detected in week 12 plasma IgG and

remained the only targeted lineage through 48 weeks. By week 80, however, plasma IgG
neutralized both variants with comparable potency. Despite ongoing virus suppression, responses
against both lineages generally increased throughout 178 weeks of monitoring (Figure 6).

Participant 8035's virus likely represented two lineages with recombination and ongoing diversification, with a dominant lineage represented by sequence G6 (12/15, 80%) and a minor lineage D3 that differed by 28 AA (3.2%) primarily in V4-5. At 12 and 24 weeks of ART, plasma neutralized only D3. More potent responses equally targeting both lineages arose by week 42 (Figure 6).

Across the participants with MVT who developed anAbs, neutralizing responses on ART increased in potency (rising over sampled timepoints through 24 to 178 weeks) and breadth (targeting the one lineage first, then expanding to recognize the other). As each individual had an initial response against the TF that was present at ARTi (as indicated by escape variants in sequences at ARTi), this indicates that if anAb responses are initiated during viremia prior to ART, they continue to evolve in both potency and breadth over months to years of suppressive ART.

360

*Heterologous neutralizing antibody responses.* In untreated HIV infection, the earliest anAb responses are strain-specific for the individual's TF virus. Having observed that anAbs develop autologous breadth after early ARTi, we then assessed for heterologous neutralizing activity in the seven EAi participants with detectable anAbs (8012, 8014, 8030, 8035, 8038, 8048, and 8068). Plasma IgG from the timepoint with the highest autologous neutralization potency was used in the TZM.bl assay against a heterologous panel of tier 2 viruses including four viruses from a standardized 'global' panel (TRO.11, X1632, X2278, CE1176) (70), the clade B TF virus WITO 368 (71), and BG505, an key Env in vaccination strategies. No heterologous neutralization was369 detected in any participant plasma IgG against any tested virus.

370

#### **371 DISCUSSION**

372 Neutralizing antibodies are the primary immune pressure driving virus escape at the HIV 373 Env locus (65) and are increasingly recognized as a potential mechanism of virus control both in 374 unique hosts who naturally suppress viremia (55, 72) and in the context of passive bnAb 375 administration (73-78). Similarly, anAbs suppress reactivation of a subset of reservoir viruses in 376 some individuals with chronic ART initiation (6, 79) and impact rebound virus populations after 377 ART interruption in some individuals with early ART initiation (51). In the modern era of HIV 378 cure, combination immunologic interventions will be necessary to successfully control reactivating reservoir viruses (80); neutralizing antibodies may be an integral part of these 379 strategies. Determining the natural history of the anAb response and the extent to which initial 380 381 responses mature during ART may inform future efforts to augment these responses. Here, we 382 characterized HIV-specific antibody responses in a well-characterized clinical cohort of PWH 383 initiating immediate ART after diagnosis of acute and early HIV-1.

Our first finding was that participants initiating ART in acute HIV (<60 days; AAi) did not subsequently develop anAb responses during suppressive ART. No AAi participants showed sequence evidence of virus escape from anAbs, nor detectable plasma neutralization, at the time of ARTi (Figures 3, 4, S3-4). This allowed for inference of TF virus(es) and indicated that initial anAb responses had yet to develop. In eleven participants who then maintained virus suppression on ART, no plasma neutralizing activity developed over many months of follow-up (Figure 4A). Tier 1 neutralizing responses were likewise absent at and after ARTi (Figure 2E, G). Binding Ab responses, in comparison, were driven by viremia over the first 1-3 months, and continued to rise
on ART over the next 12 weeks (Fig 2C). Together, results show that antigen exposure following
AAi drives limited evolution of humoral immunity, but does not elicit detectable de novo
neutralizing responses against trimeric Env even in individuals with lengthy periods of viremia
after ARTi.

396 In contrast to AAi, participants who initiated ART during early HIV (60-128 days; EAi) developed nAb responses prior to ARTi that continued to expand in breadth and potency over time 397 on suppressive ART. At ARTi, plasma antibodies did not neutralize contemporaneous virus, but 398 399 most did neutralize Tier 1 viruses (Figure 2D, F) and viral sequence diversity reflected selective 400 pressure suggesting escape from the initial anAb response (Figure 3B). Over time on ART, plasma antibodies evolved to neutralize these early escape variants in seven of eight individuals, and 401 402 further evolved to neutralize divergent variants in two of three participants with MVT (Figure 6). In parallel, anAb responses increased in potency over the duration of the follow up period in four 403 404 participants with available longitudinal samples, including in one participant followed for several 405 years (8012, 8035, Figure 4C). Tier 2 heterologous neutralization as assessed against a panel of 406 six viruses, however, did not develop in any participant.

Continued maturation of the anAb response on suppressive ART after early, but not acute,
ARTi suggests a "threshold" of systemic virus replication prior to ARTi required to sufficiently
induce B-cell recognition of trimeric Env epitopes associated with neutralization of TF virus(es).
If this threshold is not reached then anAbs do not develop, even with several additional months of
detectable viremia on ART. If this threshold is surpassed, anAbs recognizing the TF virus develop
and continue to mature on ART, accruing increased breadth and potency over long periods of time
without detectable viremia. Thus, substantial systemic virus replication is necessary to initiate the

anAb response, but not to mature pre-existing responses. Ongoing HIV replication during 414 415 suppressive ART has been debated, with evidence suggesting it is likely negligible (81-83). HIV 416 antigen, however, is available to B cells through stochastic expression of infected reservoir cell 417 provirus and within lymphoid tissue via captured virus in FDC endosomal networks. Our results 418 suggest that this continued antigen presentation during ART preferentially engages with memory 419 B cell populations to mature existing responses rather than with naïve B cells to generate de novo responses, though we did not directly assess B cell biology in this study. Further elucidation of the 420 421 interactions between distinct B cell subsets and different modes of antigen presentation on ART 422 that drive continued anAb responses warrants study.

423 The observation of an apparent "threshold effect," before which anAb responses do not 424 develop and after which they arise and continue to evolve on suppressive ART, was unexpected 425 as the immunologic consequences of earlier ART initiation would seemingly favor anAb 426 development. Initial Env-specific responses skew towards gp41 due to cross-reactive memory B 427 cells targeting gut microbial antigens (57, 58). Replicating virus produces soluble monomeric 428 gp120 and membrane-bound gp120-gp41 monomers, while trimeric Env is sparse, leading to 429 serodominance of 'binding' responses against gp120 that target non-neutralizing epitopes 430 inaccessible on functional trimers (56). These binding antibodies may paradoxically contribute to 431 depletion of uninfected 'bystander' CD4 T cells (84). Naïve B cells recognizing neutralizing 432 epitopes require antigen presentation on FDC networks and T-cell help from T follicular helper 433 (TFH) cells to class-switch and affinity mature (85), which may be greatly impaired by depletion 434 of TFH subsets over longer periods of untreated HIV. Furthermore, the intensely inflammatory 435 milieu resulting from active viral replication interferes with optimal B-cell maturation, driving 436 expansion of short-lived, activated, exhausted memory B-cell populations (37, 86, 87). In the

437 context of these known and potentially other yet undiscovered mechanisms by which HIV
438 replication impairs effective humoral responses, it is surprising that acute ARTi does not support
439 anAb development, and thus the mechanisms of the 'threshold effect', also seen in other acute
440 ARTi cohorts (17), merits further investigation.

441 While no AAi participants developed anAb responses on suppressive ART, it is notable 442 that two of three participants with documented rebound viremia produced anAbs at the time or shortly after viremia was detected. In participant 8043, neutralization-resistant variants 443 444 comprised a larger percentage of the plasma virus population at the timepoint anAbs were 445 detected relative to ARTi, suggesting immune selection imposed by anAb activity. The exact 446 timing of recurrent viremia and nascent anAb emergence were not clear, but the available data suggest rapid induction of anAbs may be possible even many months or years after AAi. Thus, 447 while on-ART viremia of AAi may be insufficient to induce anAb responses, B cells are primed 448 for rapid maturation following a sufficient antigen "boost" such as post-rebound virus replication 449 450 or potentially, therapeutic vaccination.

451 To date, therapeutic HIV vaccination strategies have largely aimed to elicit T-cell 452 responses due to the established correlates of CTL activity with spontaneous virus control; only 453 recently has testing of B cell lineage design immunogens been proposed in PWH. In contrast, 454 preventive vaccine efforts have long aimed to elicit broadly reactive nAbs, a formidable 455 challenge. Current approaches to cultivate bnAbs involve targeting rare B cell lineages, then 456 guiding stepwise B cell maturation through a series improbable mutations to train and polish 457 these responses (88). Our findings demonstrate that the period of viremia preceding acute and 458 early ARTi provides a potent and enduring antigen 'prime,' which may be a reasonable target for 459 continued stimulation via vaccination. The EAi MVT participants described here further

demonstrate a natural broadening of anAb responses after early ARTi, which may represent
development of new B cell lineages or continued evolution of an initial B cell lineage. Thus,
therapeutic vaccination with 'boosting' immunogens represents a distinct and potentially feasible
approach to mature and broaden pre-existing anAb responses.

464 Reservoir diversity following chronic ART initiation is vast and the full complement of 465 potential rebound virus populations cannot be reliably predicted by reservoir or pre-ART plasma virus sequencing (89). Acute and early ARTi, however, substantially restricts reservoir size and 466 diversity. Plasma sampling at time of early ARTi allows for more robust prediction of reservoir 467 468 variants thus assessment of reservoir sensitivity to antibody responses (78, 90). Given the 469 advances in HIV vaccinology, including mRNA-LNP technologies and prefusion-stabilized 470 trimer immunogens that have successfully elicited autologous tier-2 neutralizing antibody 471 responses in HIV-naïve individuals (91), therapeutic vaccination to enhance baseline anAb 472 responses after acute/early ARTi represents an intriguing and potentially feasible approach that 473 merits consideration.

474 This longitudinal cohort-based study has relevant strengths and weaknesses. The study is 475 rooted in validated sequencing methods (SGS)(92, 93) and modeling (star-like phylogeny) (63) 476 that allow inference of the TF virus in AAi and escape of early anAbs in EAi. Limitations include 477 a relatively homogeneous study population of all male, mostly MSM from a single US city, and 478 inter-individual variability in adherence to study visits and ART. However, given the frequency of 479 our study visits (monthly, as well as an additional week 2 visit) and the close relationship with the 480 San Francisco Department of Public Health's Getting to Zero program (94), aimed at identifying, 481 providing immediate ART, and closely following newly diagnosed individuals with HIV, our well-482 characterized cohort was able to capture the majority of these data. Further studies in other

populations, including women from diverse regions, are needed to understand the generalizability 483 484 of findings. Studying virus neutralization also has important caveats. In vitro assays for 485 neutralization potency do not directly represent in vivo activity, but are validated correlates of 486 relative neutralization activity that can be compared across studies and cohorts. Here, we assess 487 neutralization potency on ART with extracted IgG, which is a frequently used, but imperfect 488 correlate of the TZM.bl assays using the standardized plasma dilutions. As the field works to develop other methods, including ART-resistant backbones and distinct viral vectors (17, 51), 489 these assays will become more comparable across studies. 490

In summary, we found that ARTi in acute HIV prevented subsequent formation of anAbs, unless the participant experienced additional antigen in the form of rebound viremia. ARTi in early HIV, in contrast, enabled development of anAbs that continued to expand in breadth and potency over time on suppressive ART. Given the relative lack of virus diversity in the HIV reservoir after acute and early ARTi, these primed or continuously maturing anAb responses represent an attractive target for therapeutic vaccination with the goal of increasing the breadth and potency of responses to elicit durable virus suppression.

498

#### 499 **METHODS**

*Sex as a biological variable.* Our study included 23 participants, all of whom reported male sex
and male gender. The study population was drawn from clinical cohort that is almost entirely male.
This limits the generalizability of the results. Future work in women is needed to confirm findings.

*Participants.* Individuals with newly diagnosed acute/early HIV infection were consented and
enrolled in the UCSF Treat Acute HIV study from December 1, 2015 to November 30, 2020.

506 Participants were provided immediate ART (tenofovir/emtricitabine + dolutegravir), linked to 507 clinical care, and followed monthly for 24 weeks and then every ~3 months thereafter. For the 508 current study, a total of twenty-three participants were included for whom 1) viremic plasma at 509 ART initiation was available and of adequate quality for SGS, 2) sufficient archived clinical 510 laboratory testing was available to estimate date of detectable HIV infection, and 3) two or more 511 post- ARTi plasma sample timepoints were available. At each visit, detailed interviews included 512 questions regarding current medications, medication adherence, intercurrent illnesses, and hospitalizations were performed. In addition, peripheral blood sampling at each visit was 513 performed to measure plasma HIV RNA, CD4+ T cell count, and clinical labs, as well as blood 514 515 for storage.

516

Sample Preparation, Viral Load Measurements and Time to ARTi (EDDI). Whole blood 517 samples were collected in EDTA and ACD tubes and processed within 24 hours. PBMCs and 518 plasma were separated using Ficoll-gradient purification and stored at -80°C. Viral loads were 519 520 measured by commercially available clinical viral load assays (Abbott Real Time PCR assay, limit 521 of detection < 40 copies/mL). Timing of ARTi was calculated using the Infection Dating Tool 522 (https://tools.incidence-estimation.org/idt/), which included the estimated date of detectable HIV infection (EDDI), along with a "confidence interval" for early probable (EP-EDDI) and late 523 524 probable (LP-EDDI) date based on each participant's prior clinical HIV test results, as well the 525 baseline study results (52, 53).

526

527 *Gp120 Binding Antibodies.* Gp120 binding antibodies were assessed by indirect qualitative
528 ELISA as previously described (6). In brief, 96 well plates were coated with 2ug/mL recombinant

529 YU2c gp120 protein (Immune Technology, cat#IT-001-0027p) overnight and incubated with 530 100uL of heat-inactivated participant plasma in fivefold dilutions (ranging 1/20-1/312,500) as 531 primary antibody, then 100uL HRP-conjugated secondary antibody (Jackson ImmunoResearch, 532 cat#109-035-098) at 1/10,000 dilution. Blocking and dilutions were performed in B3T blocking 533 buffer (150 mM NaCl, 50mM Tris-HCl, 1 mM EDTA, 3.3% fetal bovine serum, 2% bovine 534 albumin, 0.07% Tween 20). After antibody incubations, 100uL KPL Sureblue TMB peroxidase substrate (SeraCare) was added and incubated at room temperature for 10 minutes, after which 535 100uL 1N sulfuric acid was added and absorbance at 450nm was read (H4 synergy plate reader, 536 537 Biotek). Two or more replicates were performed for each sample. HIV-negative donor plasma was 538 analyzed in parallel as negative control. Absorbance values were plotted as a function of antibody 539 concentration, and nonlinear curve fit by sigmoidal dose-response (variable slope) model with area 540 under the curve (AUC) measurement was computed in Prism (GraphPad Prism version 10.0.2.). Assay background was calculated as three standard deviations above the mean AUC of HIV-541 542 negative donor plasma.

543

544 *IgG Purification.* Plasma was heat-inactivated at 56C for 1 hour. Then, IgG was extracted using 545 the Protein G Gravitrap system (Cytiva) according to manufacturer protocol. Buffer exchange of 546 purified IgGs was then performed by three PBS washes in Amicon Ultra-4 30k cutoff filters 547 (Millipore). IgG was then sterile-filtered in 0.22uM centrifugal filter tubes (Corning Spin-X). IgG 548 concentrations were quantified by absorbance throughput at 280nm on Synergy H4 plate reader 549 (Biotek).

551 Single Genome Sequencing (SGS). Plasma virus from day of ARTi was characterized by SGS for 552 all participants. Plasma virus at post-ARTi timepoints was additionally sequenced for participants 553 with viremia after ARTi (participants 8028, 8043, and 8016). SGS was performed per previously 554 described methods (73, 89). Briefly, plasma samples were thawed on ice, and viral RNA extraction 555 was performed on a volume of plasma estimated to contain  $\sim 20,000$  virions per clinical viral load 556 measurement using EZ virus Mini Kit 2.0 (Qiagen) per manufacturer protocol. cDNA template 557 was synthesized by Superscript III system (Life Technologies) using R3B3R antisense primer (5'-558 ACTACTTGAAGCACTCAAGGCAAGCTTTATTG-3') (93), and Env region was amplified by 559 nested PCR using high fidelity Platinum Taq DNA polymerase (Life Technologies) in 96 well plates by previously described conditions (73, 89). Input DNA was titrated to yield positive 560 amplicons in <30% of reactions, reducing polymerase-induced errors (92, 93). Reactions 561 562 containing ~3kb amplicons were sequenced as below.

563

564 Sequence Analysis and Identification of TF/ Early Virus. SGS amplicons were sequenced by 565 MiSeq platform (Illumina). Sequence analysis was performed in Geneious Prime Software 566 (v2023.2.1). Raw sequencing reads were aligned to HXB2 (GenBank accession K03455.1) or 567 other clade B consensus sequence. Resultant contigs were inspected for adequate coverage and 568 consensus sequences with large deletions or ambiguous bases at 75% identity were discarded. For 569 participants with few sequences (8011, 8063, 8038), consensus sequences with ambiguous bases 570 at 75% identity were re-analyzed at 50% identity. Sequences containing few (1 or 2) positions with 571 ambiguous bases due to poor sequencing coverage (not 'double peaks'), ambiguous bases were 572 manually edited to agree with the consensus base at that position for that participant. Consensus 573 sequences of full-length Env gp160 for were aligned by MUSCLE algorithm (95) for each participant. Maximum-likelihood nucleotide trees were compiled by PhyML using Le Gascuel
substitution model, 100 bootstrap replicates, and optimized to topology, branch length, and
substitution rate.

Diversity and multivariant infection were assessed subjectively by visual inspection of nucleotide phylogenetic tree and highlighter plots (Los Alamos National Labs online Highlighter tool) (92), and objectively by fitting alignments to a model of random viral evolution models using the Los Alamos National Labs online Poisson Fitter tool (63). Populations exhibiting SLP or near-SLP by either method were presumed single TF transmission. For participants with plasma virus populations not conforming to SLP, the presence of MVT was determined by inspection of phylogenetic trees and highlighter plots for distinct clades with conserved nucleotide motifs.

Amino-acid translations of gp160 sequences were also aligned by MUSCLE algorithm, and phylogenetic trees were assembled by same methods as for nucleotide trees using Geneious Prime software. Sequences with frameshift or nonsense mutations leading to premature stop codons were discarded from amino acid alignments.

588

589 **TF/Early Virus (Env) Selection and Cloning.** In participants whose plasma virus populations 590 conformed to SLP, as was the case for AAi participants with single variant transmission, TF virus 591 was inferred as the consensus of the nucleotide sequence alignment (92). In AAi participants with 592 multivariant transmission, we inferred TF viruses as the most recent common ancestors of each 593 individual clade. In EAi participants, all of whom defied SLP, TF could not be inferred and 594 therefore we identified 'Early' virus sequences which were representative of the virus population 595 present at ARTi. In selecting such Early viruses we inspected amino acid highlighters and chose 596 sequences in which the Env regions generally targeted by early anAb responses, namely gp120 variable regions (V1-5) and potential N-linked glycosylation sites, were representative of the
individual's broader virus population. For EAi participants with MVT, we selected an 'Early' virus
from each distinct clade.

Selected TF and Early viruses were molecularly cloned by amplification of SGS product and insertion into pcDNA 3.1 Zeo(+) expression vector (Invitrogen, Thermo Fisher Scientific) by Gibson assembly. For each participant, bespoke Gibson assembly primers were generated by NEBuilder online tool (New England Biolabs, https://nebuilder.neb.com). Some Env plasmids including Tier 1 viruses MN.3 (HM215430.1) and SF162 (EU123924.1) were synthesized, cloned, and sequenced confirmed by Twist biosciences (synthesized clonal genes were purchased for participants 8010, 8011, 8026, 8061, 8014).

607 Cloned plasmids were transformed into STBL2 competent cells (Thermofisher) and grown
608 on antibiotic-containing Luria broth (LB) agar plates for 48h at room temperature. After
609 incubation, single colonies were selected and grown overnight in liquid broth at 30C. Plasmid
610 DNA was isolated using the QIAprep Spin Miniprep Columns (Qiagen). Correct insertion of
611 desired Env insert was confirmed by sequencing (Miseq, Illumina).

612

Pseudovirus Generation and Titration of Infectivity. Pseudovirus was prepared as described
previously (89) by co-transfection of env-containing plasmid with SG3∆Env plasmid (obtained
through the NIH HIV Reagent Program, Division of AIDS, NIAID, NIH: Human
Immunodeficiency Virus Type 1 (HIV-1) SG3∆Env Non-infectious Molecular Clone, ARP11051, contributed by Dr. John C. Kappes and Dr. Xiaoyun Wu.) in HEK 293T cells (ATCC) by
FuGENE™ 4K transfection system (Promega). Culture supernatants were harvested 48-72 hours
after transfection. Cellular debris was removed through 0.4 micron filtration, and resultant viral

620

stocks were stored at -80C. Multiplicity of infection (MOI) of pseudovirus stocks was determined 621 by TZM-bl beta-galactosidase assay as previously described(89).

622

623 *Neutralization Assays.* Virus neutralization by purified plasma IgG was assessed in TZM-bl assay 624 as described previously (73, 89). In brief, 10,000 TZM-bl cells (obtained through the NIH HIV 625 Reagent Program, Division of AIDS, NIAID, NIH: TZM-bl Cells, ARP-8129, contributed by Dr. 626 John C. Kappes, Dr. Xiaoyun Wu and Tranzyme Inc.) per well in 96 well plates were incubated 627 for 48 hours in presence of: purified participant plasma IgG in fivefold dilutions ranging 1,000-628 0.0128 ug/mL, 40ug/mL DEAE dextran, and 3,333 infectious units of autologous pseudovirus 629 (MOI of 0.3). Assays were performed in duplicate with challenge of antibody against pseudotyped 630 murine leukemia virus (MLV) in parallel as negative control. After 48-hour incubation, luciferase assay was performed using the Firefly Luciferase assay system (Promega) per manufacturer 631 instructions. Luminescence in relative light units (RLUs) was quantified using H4 Synergy plate 632 633 reader (BioTek). RLUs were plotted as a function of antibody concentration to generate dose-634 response curve (GraphPad Prism version 10.0.2). The antibody concentration that neutralized 50% (IC50) of pseudoviral infection was calculated by 4-parameter logistic regression fit. Tier-2 635 636 heterologous panel of pseudoviruses and infectious molecular clones was generously provided by 637 the laboratory of George Shaw.

638

639 *Statistical Analysis.* Statistical analyses were performed in Prism (GraphPad Prism version 10.0.2) 640 as outlined in the figure legends, and two-tailed, non-parametric tests were used for all analyses 641 unless otherwise specified.

*Study Approval.* All participants provided written informed consent, and the institutional review
boards of University of California, San Francisco and the University of Pennsylvania approved the
research.

646

647 *Data Availability*. Sequence data was submitted to Genbank (Accession#: OR922877-OR923336).

648 See 'supporting data values' file for numerical data underlying figures and means.

649

# 650 AUTHOR CONTRIBUTIONS

651 SAL, SD, RH, HH, VP, SS, and SGD managed Treat Acute HIV Cohort, collected clinical data,

and coordinated sample collection and processing. GDW, JJ, FEM, RK, SM, HC, KP, JC and KJB
performed laboratory experiments. GDW, RML, FEM, RK, and KJB analyzed data. GDW and

654 KJB wrote the manuscript; all authors reviewed and edited the manuscript.

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659

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#### 679 FIGURE LEGENDS

#### 680 Figure 1: Viral load kinetics

681 Plasma viral load measurements in copies/mL starting at day of ART initiation (Week 0) and

682 longitudinally on ART for (A) Acute ART initiators without rebound (grey, N=12), (B) Acute

- 683 ART initiators with rebound (red, N=3), and (C) Early ART initiators (blue, N=8) as measured
- 684 by commercial clinical assays (limit of detection >40 copies/mL).

685

# 686 Figure 2: Binding and Tier 1 antibody responses

687 (A, B, C) Plasma gp120 binding antibody responses as measured by qualitative ELISA and

- presented as area under the curve measurement and (D, E, F, G) plasma IgG neutralization of
- clade B Tier 1 viruses MN.3 and SF162 measured by TZM.bl assay and presented as IC50 in
- 690 ug/mL for 23 participants. For (**B**, **E**, **G**), AAi without rebound (N=12) are shown in grey, AAi
- 691 with rebound (N=3) in red, and EAi (N=8) in blue. (A) Baseline gp120 binding and responses
- 692 correlate with time to ART initiation (Spearman correlation), and (B) change in binding antibody
- responses between time of ART initiation (Week 0) and final timepoint (Final TP, range 12-276
- 694 weeks) (Wilcoxon matched pairs signed rank test). (C) changes in binding antibodies over weeks
- 695 0-12 weeks on ART (blue) compared to week 12-24 on ART (orange) for each participant. (D,
- **F**) Baseline Tier 1 responses correlate with time to ART initiation (Spearman correlation), and
- 697 (E, G) change in Tier 1 responses on ART (Wilcoxon matched pairs signed rank test).

698

#### 699 Figure 3: Viral populations at ART initiation

700 (A) Representative viral populations at ART initiation by SGS of gp160 Env presented as

701 maximum-likelihood nucleotide phylogenetic trees for four participants. 8028 represents AAi

with single-virus transmission, **8043** represents AAi with multivariant transmission (MVT), **8048** 

represents EAi with single virus transmission, and 8012 represents EAi with MVT. (B) Time to

ART initiation and 95% confidence interval as estimated by clinical testing (EDDI algorithm,

705 black/grey), and viral population diversity (LANL Poisson-Fitter tool, Orange). For AAi

participants with multivariant infection, diversity estimate was also performed within dominant

clade only (Green). '\*' denotes sequences conforming to star-like phylogeny (SLP).

708

# 709 Figure 4: Autologous neutralizing antibody (anAb) responses

710 AnAb responses of purified plasma IgG against pseudotyped autologous TF or Early virus

711 measured by TZM.bl assay and presented as IC50 (ug/mL) for twenty-two participants. AAi

vithout rebound (N=11) are shown in grey, AAi with rebound (N=3) in red, and EAi (N=8) in

blue. (A) AnAb responses at ART initiation (Week 0) and longitudinal timepoints on ART.

714 Statistics represent response rate for relative number of participants with detectable anAbs

between groups at each timepoint (Fisher Exact Test. \*, P < 0.05; \*\*, P < 0.05, \*\*\*, P < 0.001).

716 (**B**, **C**) AnAb responses over time on ART in individual (**B**) Acute participants with rebound and

(C) Early participants.

717

718

#### 719 Figure 5: Rebound virus populations in three AAi participants

720 Maximum-likelihood amino acid phylogenetic trees and highlighter plots for the three AAi with

rebound participants (8028, 8016, and 8043). Black nodes represent sequences obtained from

- 722 plasma at ART initiation, and red nodes represent sequences obtained at rebound timepoint
- plasma. Numeric values represent final timepoint plasma IgG neutralization IC50 (ug/mL) of
- selected pre-ART and rebound timepoint Envs by TZM.bl assay.

725

# 726 Figure 6: AnAb responses in EAi participants with multivariant transmission (MVT)

- 727 Maximum-likelihood amino acid phylogenetic trees and highlighter plots for the three EAi
- 728 participants with MVT are presented on left. Pseudotyped dominant clade and minor clade early
- viruses are denoted in orange and green, respectively. Neutralization IC50 (ug/mL) by
- 730 longitudinal plasma IgG of each clade is presented on right. Shaded area represents time during
- 731 which anAbs against only one variant were detected.

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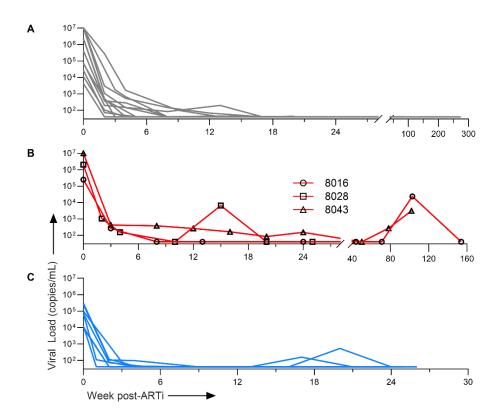
### Table 1: Cohort Characteristics

Time to CD4 Final Participant ARTi Viral Load<sup>+</sup> count at Weeks to VL Timepoint Week post-Viral ID Sex Race\* (Days) (at ARTi) ARTi suppression (Weeks) ARTi Load<sup>†</sup> Age Μ 400,924 А W 2,026,349 6,458 Μ 2,142,874 Μ в Μ А 247,583 23,891 >10,000,000 Μ L L 2,235,106 Μ L >10,000,000 Μ L/NA >10,000,000 Μ Μ W/L 280,129 65,966 Μ L 73,272 Μ L W >10,000,000 Μ Μ W >10,000,000 3,108 Μ А 4,142 W 26,354 Μ W 242,367 Μ Μ В 9,525 В 96,222 Μ ΡI 12,080 Μ Μ L 70,145 297,362 Μ А Μ W/L 10,529 Μ L 66,503 Median (All) 247,583 Median 2,026,349 (Acute) Median 101.5 66,503 

Viremia post-ARTi

\* Race Denominations: A = asian, B = black, L = latino, NA = native american, PI = pacific islander W = white; '/' indicates mixed race \* Viral load measurements in copies/mL

(Early)



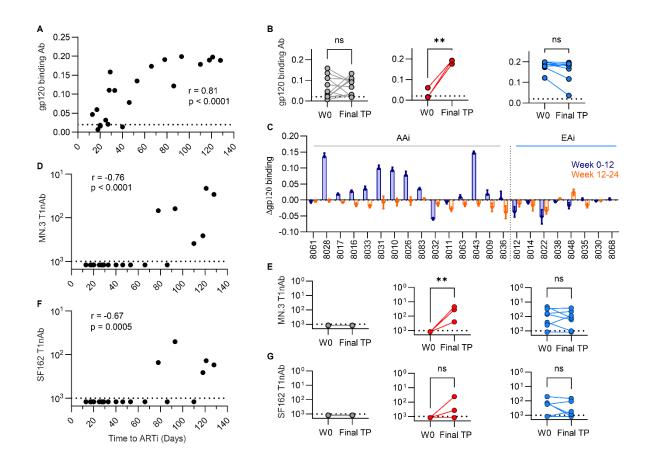
### 968 Figure 1: Viral load kinetics

969 Plasma viral load measurements in copies/mL starting at day of ART initiation (Week 0) and

970 longitudinally on ART for (A) Acute ART initiators without rebound (grey, N=12), (B) Acute

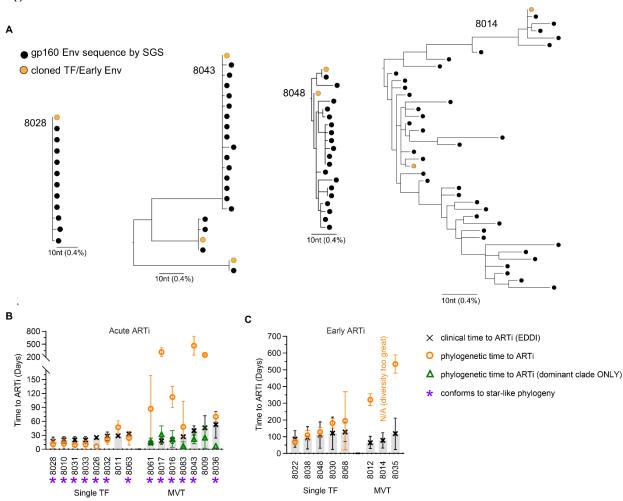
971 ART initiators with rebound (red, N=3), and (C) Early ART initiators (blue, N=8) as measured

972 by commercial clinical assays (limit of detection >40 copies/mL).



#### 976 Figure 2: Binding and Tier 1 antibody responses

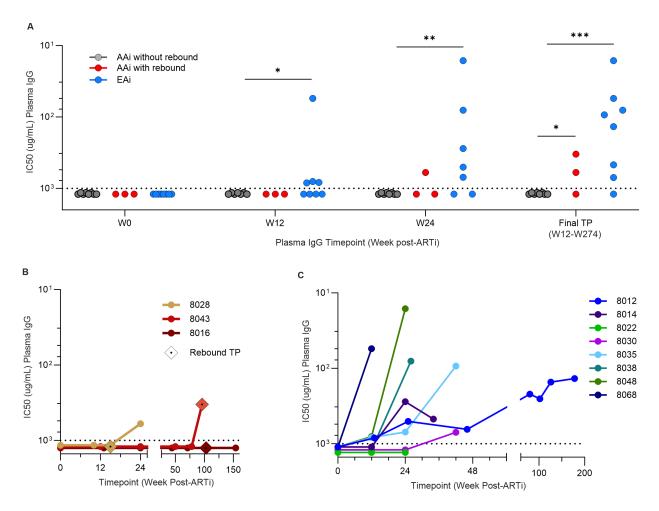
(A, B, C) Plasma gp120 binding antibody responses as measured by qualitative ELISA and 977 978 presented as area under the curve measurement and (D, E, F, G) plasma IgG neutralization of 979 clade B Tier 1 viruses MN.3 and SF162 measured by TZM.bl assay and presented as IC50 in 980 ug/mL for 23 participants. For (**B**, **E**, **G**), AAi without rebound (N=12) are shown in grey, AAi with rebound (N=3) in red, and EAi (N=8) in blue. (A) Baseline gp120 binding and responses 981 982 correlate with time to ART initiation (Spearman correlation), and (B) change in binding antibody responses between time of ART initiation (Week 0) and final timepoint (Final TP, range 12-276 983 984 weeks) (Wilcoxon matched pairs signed rank test). (C) changes in binding antibodies over weeks 985 0-12 weeks on ART (blue) compared to week 12-24 on ART (orange) for each participant. (D, 986 F) Baseline Tier 1 responses correlate with time to ART initiation (Spearman correlation), and (E, G) change in Tier 1 responses on ART (Wilcoxon matched pairs signed rank test). 987 988



# 991 Figure 3: Viral populations at ART initiation

992 (A) Representative viral populations at ART initiation by SGS of gp160 Env presented as maximum-likelihood nucleotide phylogenetic trees for four participants. 8028 represents AAi 993 994 with single-virus transmission, 8043 represents AAi with multivariant transmission (MVT), 8048 represents EAi with single virus transmission, and 8012 represents EAi with MVT. (B) Time to 995 ART initiation and 95% confidence interval as estimated by clinical testing (EDDI algorithm, 996 997 black/grey), and viral population diversity (LANL Poisson-Fitter tool, Orange). For AAi 998 participants with multivariant infection, diversity estimate was also performed within dominant clade only (Green). '\*' denotes participants conforming to star-like-phylogeny (SLP). 999 1000



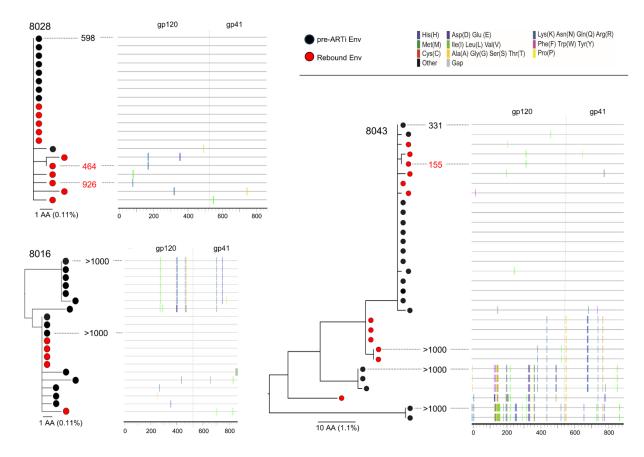


#### 1004 Figure 4: Autologous neutralizing antibody (anAb) responses

1005 AnAb responses of purified plasma IgG against pseudotyped autologous TF or Early virus measured by TZM.bl assay and presented as IC50 (ug/mL) for 22 participants. AAi without 1006 rebound (N=11) are shown in grey, AAi with rebound (N=3) in red, and EAi (N=8) in blue. (A) 1007 1008 AnAb responses at ART initiation (Week 0) and longitudinal timepoints on ART. Statistics represent response rate for relative number of participants with detectable anAbs between groups 1009 at each timepoint (Fisher Exact Test. \*, P < 0.05; \*\*, P < 0.05, \*\*\*, P < 0.001). (**B**, **C**) AnAb 1010 responses over time on ART in individual (B) Acute participants with rebound and (C) Early 1011 1012 participants.

1013

## 1014 Figure 5



1015

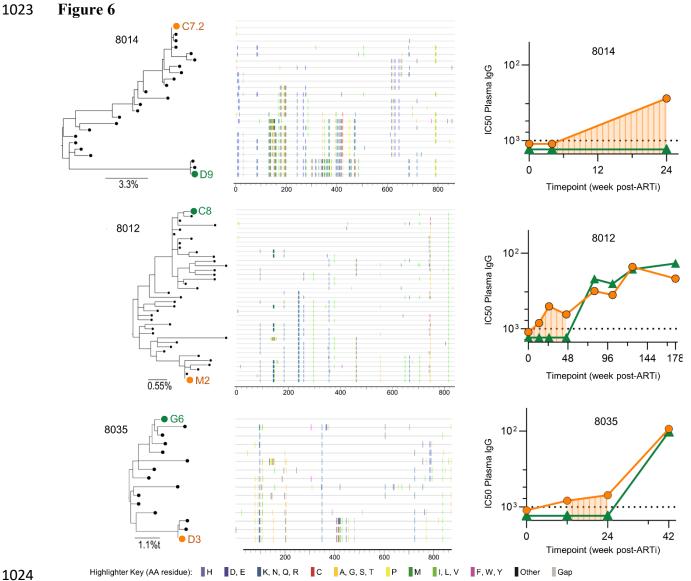
# 1016 Figure 5: Rebound virus populations in three AAi participants

Maximum-likelihood amino acid phylogenetic trees and highlighter plots for the three AAi with
 rebound participants (8028, 8016, and 8043). Black nodes represent sequences obtained from

1019 plasma at ART initiation, and red nodes represent sequences obtained at rebound timepoint

1020 plasma. Numeric values represent final timepoint plasma IgG neutralization IC50 (ug/mL) of

selected pre-ART and rebound timepoint Envs by TZM.bl assay.



**Figure 6:** AnAb responses in EAi participants with multivariant transmission (MVT)

1026 Maximum-likelihood amino acid phylogenetic trees and highlighter plots for the three EAi 1027 participants with MVT are presented on left. Pseudotyped dominant clade and minor clade early 1028 viruses are denoted in orange and green, respectively. Neutralization IC50 (ug/mL) by longitudinal 1029 plasma IgG of each clade is presented on right. Shaded area represents time during which anAbs 1030 against only one variant were detected.